

Annex A

CSO Collaboration with Audit Offices: Pre-Engagement Questionnaire

Complete this questionnaire to determine your capacity to engage with your audit office and identify potential factors that can facilitate or impede this collaboration.

| AREA FOR CONSIDERATION | QUESTIONS TO ASK |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>The audit office's prior audit reports and experience auditing the SDGs</p> | <ul style="list-style-type: none"> ▶ Has the audit office already conducted an audit of preparedness for implementation of SDGs or an SDG audit? ▶ Does the audit office's plan indicate it intends to audit SDG topics in the coming years? ▶ Are there audits planned, underway, or recently completed that pertain to your area of expertise? |
| <p>NOTES</p> | |
| <p>The audit office's experience in engaging with CSOs</p> | <ul style="list-style-type: none"> ▶ What level is the audit office at (level I to III) with respect to engagement with CSOs? (Refer to p. 6) ▶ What is the audit office's history of engaging with CSOs? |
| <p>NOTES</p> | |
| <p>The audit office mandate and model</p> | <ul style="list-style-type: none"> ▶ What is the audit office's legal and constitutional mandate and model? ▶ Does the audit office conduct performance audits? ▶ Are the audit office's recommendations binding or non-binding? |
| <p>NOTES</p> | |

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Continued

| AREA FOR CONSIDERATION | QUESTIONS TO ASK |
|-------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>How to include the audit office in your stakeholder engagement strategy</p> <hr/> <p>NOTES</p> | <ul style="list-style-type: none"> ▶ What level (national, provincial/state, municipal) of audit office should you engage with? ▶ What are the goals and benefits in collaborating with the audit office? ▶ How do you want to engage with your audit office? What would be the best channels of communication? |
| <p>Your CSO's place within the audit office's stakeholder engagement strategy</p> <hr/> <p>NOTES</p> | <ul style="list-style-type: none"> ▶ Where does your CSO sit within the audit office's stakeholder engagement strategy or stakeholder mapping? ▶ Are there people or organizations in your direct networks who sit higher within the audit office's engagement strategy? Can you leverage these connections to partner with the audit office? |

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Continued

| AREA FOR CONSIDERATION | QUESTIONS TO ASK |
|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>Your CSO's capacity</p> | <ul style="list-style-type: none"> ▶ Do you have staff resources to take on this collaboration role? Who will be your point person? Do they have knowledge of the performance audit process? ▶ Do you have financial resources for this collaboration? ▶ Have you addressed this potential collaboration in other plans, such as operational plans, communications plans, or strategic plans? ▶ Are there other CSOs or actors that can support you when reaching out to the audit office? ▶ Do you have strong linkages with other government agencies that work with the audit office and can help connect you? ▶ Considering your CSO's financial and human resources, what types of collaboration opportunities are you capable of? <hr/> <p>NOTES</p> |
| <p>Entry points and champions</p> | <ul style="list-style-type: none"> ▶ Is there an existing framework governing the collaboration between audit offices and CSOs? ▶ Does the audit office have a mailing list you can request to be placed on? ▶ Is there a <i>Right to Information Act</i>, or similar legislation, in your country you can use to request access to audit plans if they are not already published? ▶ Does the audit office have a focal point, such as a stakeholder relations officer, public affairs officer and/or a communication officer? ▶ Which staff members have been involved in recent audits of SDGs? ▶ Are there other actors, such as donors, accountability institutions, regional audit office networks, or other CSOs, that could facilitate collaboration? <hr/> <p>NOTES</p> |